



Consultant Prequalification Application

PLEASE READ the Submittal/Application Instructions on the [CPO website](#) before completing the application

1. CONTACT INFORMATION:

a. Firm Name:	b. Office Phone No.:	c. Cell Phone No.:
d. Prequalification Contact First & Last Name:	e. Prequalification Contact Title:	f. Prequalification Contact Email Address:
g. Firm's Website:		h. Name of Firm's President/Managing Officer:

2. CORPORATE INFORMATION:

a. Type (select all that apply): ☐ Individual ☐ Minority Owned ☐ Small Business ☐ Limited Liability Company ☐ Corporation (State of origin): _____ ☐ Other: _____ ☐ Partnership ☐ Woman Owned ☐ S Corporation	b. Does your firm have an Audited Overhead Report dated with the last two (2) years? ☐ Yes ☐ No What is the date of your most recent Audited Overhead Report? _____ <i>(Refer to the Consultant General Conditions regarding the requirements for an Audited Overhead Report)</i>	c. Is your firm a Disadvantaged Business Enterprise (DBE)? ☐ Yes ☐ No If yes, are you certified as such by MaineDOT's Civil Rights Office? ☐ Yes ☐ No
d. Is your firm's Corporate Headquarters located in Maine? ☐ Yes ☐ No What is the address of your Corporate Headquarters: _____		

3. AFFIRMATIVE ACTION:

a. Does your firm have a current Equal Employment Opportunity policy and plan? ☐ Yes ☐ No	b. Is your firm aware of Equal Employment Opportunity (EEO) responsibilities? ☐ Yes ☐ No	c. Is your firm aware of MaineDOT's goals for utilization of DBE firms? ☐ Yes ☐ No
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4. DEBARMENT, SUSPENSION, INELIGIBILITY, OR EXCLUSION:

a. Has your firm been debarred, suspended, declared ineligible, or voluntarily excluded from contracts by the Federal Government or any State Agency within the last three (3) years? ☐ Yes ☐ No If yes, briefly explain:
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This form must be filled out in its entirety. Please refer to the Submittal/Application Instructions on the [CPO website](#) for additional information.

5. SERVICES REQUESTED FOR PREQUALIFICATION CONSIDERATION:

PLEASE READ BEFORE SELECTING ANY SERVICES: Select the check box below next to each Service Number for which prequalification is requested. Select only those that your firm meets the criteria described in the Service Number definitions at: <https://www.maine.gov/dot/doing-business/consultant-procurement#Prequal>. Supplemental Questions, if any, must be answered for each Numbered Service checked below. Sections Highlighted below indicate there are Supplemental Questions, which can be found at <https://www.maine.gov/dot/doing-business/consultant-procurement#Prequal> by clicking on the OUC-Program, then "Download Supplemental Questions." There is also an icon next to each OUC-Program on the web to identify that there are Supplemental Questions.

1. **OUC 11000 – RESULTS & INFORMATION OFFICE:**

- ☐ 111.00 Transportation Technology Planning
- ☐ 116.00 Data Services

2. **OUC 13000 – ASSET MANAGEMENT OFFICE:**

- ☐ 102.20 Pavement Management Data
- ☐ 112.00 Pavement Management Technical Support
- ☐ 115.00 Asset Management Documentation and Analysis
- ☐ 117.00 Bridge Management Technical Services

3. **OUC 14020 – CIVIL RIGHTS OFFICE:**

- ☐ 808.10 Civil Rights-Training Specialties
- ☐ 808.20 On-The-Job-Training (OJT)
- ☐ 808.30 Disadvantaged Business Enterprise (DBE)
- ☐ 808.50 Operations Compliance & Reporting
- ☐ 808.60 Civil Rights Plan Development
- ☐ 808.70 Americans with Disabilities Act (ADA) Compliance

4. **OUC 17000 – OFFICE OF SAFETY & MOBILITY:**

- ☐ 102.10 Planning Data-Travel Demand Modeling
- ☐ 102.40 Planning Data-Traffic Simulation and Animation Modeling
- ☐ 102.70 Highway Safety Analysis

5. **OUC 18000 – ENVIRONMENTAL OFFICE:**

- ☐ 103.40 Transportation Noise Studies
- ☐ 502.20 Natural Resource Assessment
- ☐ 502.30 Underwater Sound Pressure Monitoring
- ☐ 503.10 Compensatory Wetland Mitigation
- ☐ 503.20 Fluvial Geomorphology, Natural Channel Design and Aquatic Organisms Passage
- ☐ 504.00 Conservation of Historic Plaques and other Historic Objects
- ☐ 504.10 Architectural Historian
- ☐ 504.20 Cultural Resource Management Services [Section 4(f) of the Department of Transportation Act]
- ☐ 504.30 Rare and Endangered Mussels
- ☐ 504.40 Environmental Permitting
- ☐ 504.50 NEPA Studies, Process, Documentation
- ☐ 504.60 Cultural Resources Management Services (Archaeology)
- ☐ 504.70 Section 4(f) US DOT Act Technical Assistance
- ☐ 505.10 Hydrogeology & Contamination Environmental Studies
- ☐ 506.00 Surface Water Quality, Post Construction Storm Water Management
- ☐ 506.10 Stormwater Permit Compliance
- ☐ 507.00 Hydraulics & Hydrologic Studies
- ☐ 508.10 Energy Services
- ☐ 509.00 Environmental Resiliency

6. **OUC 40000 – BUREAU OF PLANNING:**

- ☐ 101.00 Transit Marketing
- ☐ 101.10 Transit Planning for Rural and Small Urban Projects
- ☐ 101.40 Freight Rail Planning, Design, & Technical Assistance
- ☐ 101.60 Port Planning, Design, & Technical Assistance
- ☐ 103.00 Mobility Management
- ☐ 103.10 Transportation Demand Management
- ☐ 103.20 Public Engagement Methods
- ☐ 103.30 Cost Benefit/Economic Studies
- ☐ 103.50 Regional Planning Studies
- ☐ 103.60 Planning-Feasibility Studies
- ☐ 106.10 Passenger Rail Operations Development
- ☐ 106.50 Bicycle and Pedestrian Operations Development
- ☐ 107.20 Bus Maintenance Compliance Operations Development
- ☐ 107.30 Vehicle Inspections Operations Development
- ☐ 107.40 Vehicle Specifications Operations Development
- ☐ 107.50 Bus Rehabilitation – Mid-Life Overhaul
- ☐ 107.80 Inter City Bus Service
- ☐ 108.00 Drug & Alcohol Compliance (FTA, MSFS, FAA, etc.)
- ☐ 110.00 Training for Multiple Transportation Modes
- ☐ 113.00 Grant Application Assistance
- ☐ 214.10 Bridge Ranger Services
- ☐ 214.20 Road Ranger Services
- ☐ 214.30 Traffic Ranger Services

7. **OUC 42000 – FREIGHT & BUSINESS LOGISTICS:**

- ☐ 101.30 Freight Planning, Design, & Technical Assistance
- ☐ 104.10 Motor Carrier Safety
- ☐ 104.20 Innovative Technology Deployment (ITD) Program
- ☐ 106.20 Intermodal Facilities Operations Development
- ☐ 106.40 Marine Facilities Infrastructure & Operations Development
- ☐ 109.10 Homeland Security Systems
- ☐ 109.20 Homeland Security Planning
- ☐ 114.00 Aviation Facilities Planning, and Project Development

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8. OUC 50000 – PROJECT DEVELOPMENT:

- ☐ 601.00 Highway Inspection, Construction Management, and Support Services
- ☐ 602.10 Bridge Construction Inspection, Management and Support Services
- ☐ 603.10 Marine Facilities Inspection
- ☐ 603.20 Marine Facilities Construction Management and Support Services
- ☐ 604.10 Rail Facilities Inspection
- ☐ 604.20 Rail Facilities Construction Management, and Support Services
- ☐ 606.10 Building Inspection
- ☐ 606.20 Building Construction Management and Support Services
- ☐ 607.00 Fabrication Inspection
- ☐ 608.00 Materials Testing/Inspection
- ☐ 609.00 Intelligent Transportation Systems (ITS) Construction Inspection

9. OUC 52000 – BRIDGE PROGRAM:

- ☐ 203.00 Bridge Design
- ☐ 203.10 Structure Coating Submittal Review
- ☐ 804.10 Geotechnical Investigations and Engineering Services
- ☐ 805.00 Geophysical Services

10. OUC 54000 – PROPERTY OFFICE:

- ☐ 301.10 Engineering/Topographic Surveys
- ☐ 301.20 Property Surveys
- ☐ 301.30 Hydrographic Surveys
- ☐ 302.00 Remote Sensing, Aerial Photography and Photogrammetric Mapping Services

11. OUC 56000 – HIGHWAY PROGRAM:

- ☐ 202.10 Reconstruction/Rehabilitation Highway Design
- ☐ 202.20 Roundabout Design
- ☐ 202.40 Ancillary Highway Design
- ☐ 701.00 Utility Coordination
- ☐ 702.00 Utility Services – Vacuum Excavations
- ☐ 703.00 Subsurface Utility Engineering

12. OUC 57000 – MULTIMODAL PROGRAM:

- ☐ 201.10 Multimodal Building Design & Architectural Services
- ☐ 205.00 Naval Architecture Planning & Design
- ☐ 206.10 Major Marine Facilities Design
- ☐ 206.20 Minor Marine Facilities Design
- ☐ 207.10 Major Rail Facilities Design
- ☐ 207.20 Minor Rail Facilities Design
- ☐ 207.30 Rail Bridge Design
- ☐ 209.10 Pedestrian/Bicycle Facilities Design
- ☐ 209.20 Pedestrian/Bicycle Bridge Design
- ☐ 210.10 Traffic Signal and Flashing Beacon Design
- ☐ 210.30 Intersection Geometric Improvement Design
- ☐ 210.40 Overhead Signing Design
- ☐ 806.00 Landscape Architecture
- ☐ 806.10 Ancillary Landscape Architecture Project Management
- ☐ 806.20 Interpretive Planning and Design

13. OUC 59000 – MATERIALS TESTING & EXPLORATION:

- ☐ 804.00 Laboratory Testing of Construction Materials and Geotechnical Samples

14. OUC 70000 – MAINTENANCE & OPERATIONS:

- ☐ 102.60 Traffic Incident Management (TIM) Training and Facilitation
- ☐ 201.20 Maintenance Building Design & Architectural Services
- ☐ 210.60 Ancillary Structure Inspections
- ☐ 211.00 Maintenance Lot Site Development
- ☐ 212.00 Maintenance Contract Support Services
- ☐ 213.00 Utility Locating Services
- ☐ 501.00 Environmental Health & Safety Consultation
- ☐ 602.30 Bridge Inspection, Non-Construction

15. OUC 75600 – TRAFFIC ENGINEERING:

- ☐ 105.00 Intelligent Transportation Systems (ITS)
- ☐ 210.20 Traffic Data Collection, Processing & Analysis
- ☐ 210.50 Lighting Design
- ☐ 210.70 Traffic Engineering Services

6. LIST OF QUALIFYING PERSONNEL WORKSHEET:

Worksheet for all Service Numbers:

A *List of Qualifying Personnel Worksheet* must be completed for each OUC – Program a Firm is applying for. See Submittal/Application Instructions on the [CPO website](#) for further details and the hyperlink to the Worksheet.

7. CERTIFICATION:

By submitting this form, I certify that I have reviewed my submittal package to ensure that all the required documents are included.

☐ Check Here to Agree

By submitting this form, I certify that the foregoing information is true and accurate and that I am an Authorized Signatory Officer, who can legally bind the Firm.

☐ Check Here to Agree

By submitting this form, I certify that the typed name (a) is intended to have the same force as a manual signature, (b) is unique to myself, (c) is capable of verification, (d) is under the sole control of myself, (e) is linked to data in such a manner that it is invalidated if the data are changed. (10 M.R.S.A. §9501 et seq.)

☐ Check Here to Agree

Typed Name of Submitting Authorized Officer:

Title:

Date: